

**UNITED STATES DISTRICT COURT
FOR THE MIDDLE DISTRICT OF TENNESSEE
NASHVILLE DIVISION**

**SECURITIES AND EXCHANGE
COMMISSION,**

Plaintiff,

v.

**CAPWEALTH ADVISORS, LLC,
TIMOTHY J. PAGLIARA, AND
TIMOTHY R. MURPHY,**

Defendants.

Case No. 3:20-cv-01064

**JUDGES
CRENSHAW/FRENSLEY**

JURY TRIAL DEMANDED

JOINT REPORT REGARDING EFFORTS TO RESOLVE THIS MATTER

Since participating in a mediation in an effort to resolve this matter on August 13, 2021, the parties have continued to engage in discovery, including the taking of in-person depositions. As a result, counsel for the parties have had several opportunities to discuss the status of this case. At this time, the positions of the parties have not changed regarding resolution of this matter. The parties have agreed to continue engaging in good faith discussions regarding settlement and will promptly notify the Court if an agreement is reached.

Dated this 30th day of November, 2021.

Respectfully submitted,

/s/ Kristin W. Murnahan

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CERTIFICATE OF SERVICE

This is to certify that on November 30, 2021, I caused the following Report Regarding Mediation to be served on the following counsel for defendants through the Court's ECF system:

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